# The Wallach Rearrangement. Part XIV. ${ }^{1}$ Rearrangements of Azoxynaphthalenes in Sulphuric Acid. Kinetics and Mechanisms 

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#### Abstract

The rates of rearrangement of the 1 -naphthyl-, 2-naphthyl-, and phenyl-substituted azoxy-compounds (1)-(6) in moderately concentrated sulphuric acid solutions have been investigated. A mechanism involving quinonoid type intermediates is used to explain the acidity dependence of the observed rate constants for compounds (1). (2), and (4)-(6) at $\mathrm{H}_{2} \mathrm{SO}_{4}$ concentrations below ca. $83 \% \mathrm{w} / \mathrm{w}$. Equilibrium protonation of the substrates ( $K_{\mathrm{sH}^{+}}$) is followed by nucleophilic attack $\left(\mathrm{HSO}_{4}{ }^{-}\right)$on aromatic carbon yielding the uncharged quinonoid intermediates ( $\mathrm{S}^{\prime}$ ). Another equilibrium protonation of $\mathrm{S}^{\prime}\left(K_{\mathrm{S}^{\prime} \mathrm{H}^{+}}\right)$on the $\mathrm{N}-\mathrm{OH}$ function is followed by rate-determining abstraction of an aryl-bound hydrogen with simultaneous loss of $\mathrm{H}_{2} \mathrm{O}$. In contrast, compound (3) exhibits a linear $\log$ (rate) correlation with $\log {a_{\mathrm{H}_{*} \mathrm{SO}}^{4}}^{a}$ at all acidities, indicative of general acid catalysed formation of a dicationic intermediate; the other compounds also appear to react by this mechanism above $83 \% \mathrm{H}_{2} \mathrm{SO}_{4}$. Thus in the low acid region the isomeric reactants (2) and (3) follow different reaction pathways while yielding a common product.


Recently we found that the Wallach rearrangement of hexamethylazoxybenzene in sulphuric acid occurs by two different mechanisms. ${ }^{1}$ At high acidity a dicationic intermediate ${ }^{2}$ mechanism is involved, similar to that applicable to azoxybenzene itself at all acidities. ${ }^{3}$ At low acidities a mechanism was proposed involving intermediates with only one positive charge. ${ }^{1}$

In a further investigation of this reaction, ${ }^{4}$ we have studied the rearrangements of naphthalene-1-NNOazoxybenzene (1), naphthalene-2-NNO-azoxybenzene (2), naphthalene-2-ONN-azoxybenzene (3), 1,1'-azoxynaphthalene (4), $1,2^{\prime}-O N N$-azoxynaphthalene (5), and $2,2^{\prime}$-azoxynaphthalene (6). We have already reported on the product orientation in this series (Scheme 1), ${ }^{5 a, b}$ and on a preliminary kinetic study for the reactions of (2) and (3). ${ }^{5 c}$ In the present paper we report the results of a detailed kinetic study of these reactions and their interpretation.

## RESULTS AND DISCUSSION

The kinetic data obtained are given in Tables 1-6, Values of $\log k_{\psi}$ for the different reactions are plotted

[^0]as a function of $-H_{0}$ in Figure 1. Also shown in Figure 1 are the previously measured $\mathrm{p} K_{\mathrm{SH}}+$ values ${ }^{6}$ of these compounds. In Figure 2, the rate data, corrected to full monoprotonation by subtracting $\log \left(C_{\mathrm{SH}^{+}} / C_{\mathrm{s}}+\right.$ $C_{\mathrm{SH}^{+}}$) from the $\log k_{\psi}$ values, ${ }^{7}$ are plotted as a function of $\log a_{\mathrm{H}_{2} \mathrm{SO}_{4}}$; plots of this type have previously been found to be linear for azoxybenzene and hexamethylazoxybenzene. ${ }^{1,3 a}$

The rate data represented in Figures 1 and 2 provide several interesting features. It is apparent from Figure 1 that the reactions at $44 \cdot 4^{\circ}$ can be divided into two categories. Into the first fit compounds (1), (4), and (5), all of which undergo 4-naphthyl substitution, have $\mathrm{p} K_{\mathrm{SH}^{+}}$values $c a .-6$, and the initial slopes of their $\log$ (rate) $-H_{0}$ profiles are $c a .2$. Into
${ }^{4}$ (a) H. J. Shine, 'Aromatic Rearrangements,' Elsevier, Amsterdam, 1967, p. 272; (b) D. L. H. Williams, in 'Comprehensive Chemical Kinetics,' eds. C. H. Bamford and C. F. H. Tipper, Elsevier, Amsterdam, vol. 13, 1972; (c) E. Buncel, in 'Mechanisms of Molecular Migrations,' ed. B. S. Thyagarajan, Wiley, New York, vol. 1, 1968; (d) R. A. Cox and E. Buncel, in 'The Chemistry of Hydrazo, Azo, and Azoxy Groups,' ed. S. Patai, Interscience, New York, 1975.
${ }^{5}$ (a) E. Buncel and A. Dolenko, Tetrahedron Letters, 1971, 113; (b) A. Dolenko and E. Buncel, Canad. J. Chem., 1974, 52, 623; (c) E. Buncel, R. A. Cox, and A. Dolenko, Tetrahedron Letters, 1975, 215.
${ }_{6}$ A. Dolenko, K. Mahendran, and E. Buncel, Canad. J. Chem., 1970, 48, 1736.
${ }^{7}$ J. F. Bunnett and F. P. Olsen, Canad. J. Chem., 1966, 44, 1917.
the second fit compounds (2), (3), and (6), which undergo l-naphthyl substitution, have $\mathrm{p} K_{\mathrm{SH}^{+}}$values $c a .-5$, and their initial slopes are $c a .1$. All the $\log$ (rate) $-H_{0}$ profiles are curved; the curvature is least for (3), and for (1) at $25^{\circ}$. Compounds (1), (4), and (5) all

appear to reach a limiting rate of $c a \cdot 10^{-3} \mathrm{~s}^{-1}$. Compounds (2) and (6) seem to level off at $c a .10^{-3.5} \mathrm{~s}^{-1}$, except for one point due to (2), which falls on the extrapolated curve due to (3).

Upon subtracting the term $\log \left(C_{\mathrm{SH}^{+}} / C_{\mathrm{S}}+C_{\mathrm{SH}}+\right)$ from the $\log k_{\psi}$ values, which corrects these for the fraction of unprotonated substrate present at $H_{0}$ values near $\mathrm{p} K_{\mathrm{SH}^{+}}$, all six compounds have initial slopes $c a$. 1 . This similarity of initial slopes can also be seen from Figure 2, as $-H_{0}$ and $\log a_{\mathrm{H}_{2} \mathrm{sO}}$ are almost (but not
quite) linear functions of one another below $\log a_{\mathrm{H}_{\mathbf{2}} \mathrm{SO}_{4}}=$ -1. In other words the reaction is still acidity dependent beyond the full monoprotonation stage, in accord

Table 1
Pseudo-first-order rate constants, degree of substrate protonation, and solution acidity parameters, for reaction of (1) in $\mathrm{H}_{2} \mathrm{SO}_{4}$ media at 25 and $44 \cdot 4^{\circ}$

|  |  | $\mathrm{CSH}^{+}{ }^{\text {c }}$ | $k_{\psi}\left(\mathrm{s}^{-1}\right)$ |
| :---: | :---: | :---: | :---: |
| $\mathrm{H}_{2} \mathrm{SO}_{4}(\%)^{a}$ | $-H_{0}{ }^{\text {b }}$ | $\overline{C_{\mathrm{S}}+C_{\mathrm{SH}^{+}}}$ | $44.4{ }^{\circ}$ |
| $66 \cdot 66$ | $5 \cdot 29$ | $0 \cdot 287$ | $1.32 \times 10^{-5}$ |
| $69 \cdot 65$ | $5 \cdot 74$ | $0 \cdot 516$ | $9.33 \times 10^{-5}$ |
| $72 \cdot 64$ | $6 \cdot 22$ | 0.751 | $3.87 \times 10^{-4}$ |
| 74.63 | 6.52 | 0.852 | $8.14 \times 10^{-4}$ |
| $76 \cdot 62$ | 6.83 | 0.919 | $1.18 \times 10^{-3}$ |
| 78.61 | $7 \cdot 13$ | 0.956 | $1.36 \times 10^{-3}$ |
| $\mathrm{H}_{2} \mathrm{SO}_{4}(\%)$ | $-H_{0}{ }^{\text {e }}$ | $-\log a_{\mathrm{H}_{2} \mathrm{SO}_{4} f}$ | $k_{\psi}\left(\mathrm{s}^{-1}\right)$ $25 \cdot 0^{\circ}$ |
| $83 \cdot 28{ }^{\text {d }}$ | 8.01 | $1 \cdot 404$ | $1.82 \times 10^{-4}$ |
| $85 \cdot 93{ }^{\text {d }}$ | $8 \cdot 41$ | 1.039 | $3.03 \times 10^{-4}$ |
| $86 \cdot 12^{\text {d }}$ | $8 \cdot 44$ | 1.013 | $3.19 \times 10^{-4}$ |
| $89.06{ }^{\text {d }}$ | $8 \cdot 87$ | $0 \cdot 689$ | $5.56 \times 10^{-4}$ |
| $90 \cdot 13{ }^{\text {d }}$ | 9.03 | 0.587 | $8.40 \times 10^{-4}$ |
| $92 \cdot 47{ }^{\text {d }}$ | $9 \cdot 42$ | $0 \cdot 401$ | $1.14 \times 10^{-3}$ |

${ }^{a} \mathrm{w} / \mathrm{w}$ After mixing with $0.5 \%$ ethanol. ${ }^{b}$ Data from ref. $18 b, c$. ${ }^{c}$ Calculated using $\mathrm{p} K_{\mathrm{SH}^{+}}=-5 \cdot 71$ (ref. 6). ${ }^{d}$ No co-solvent present. EData from ref. $18 b, c$ (substrate is $>99 \%$ protonated at these acidities). $f$ Data from C. W. F. Kort and H. Cerfontain, Rec. Tvav. chim., 1968, 87, 24.

Table 2
Rate and acidity data for reaction of (2) in $\mathrm{H}_{2} \mathrm{SO}_{4}$ solution at $\mathbf{4 4} \cdot 4^{\circ}$

|  | $C_{\mathrm{SH}^{+}}$ |  |  |
| :---: | :---: | :---: | :---: |
| $\mathrm{H}_{2} \mathrm{SO}_{4}(\%)^{a}$ | $-H_{0}^{b}$ | $\frac{C_{\mathrm{s}}+C_{\mathrm{SH}^{+}}}{}$ | $k_{\psi}\left(\mathrm{s}^{-1}\right)$ |
| 69.65 | 5.74 | 0.821 | $6.35 \times 10^{-6}$ |
| 72.64 | 6.22 | 0.928 | $2.41 \times 10^{-5}$ |
| 76.62 | 6.83 | 0.979 | $1.17 \times 10^{-4}$ |
| 78.61 | 7.13 | 0.989 | $2.09 \times 10^{-4}$ |
| 80.60 | 7.43 | 0.994 | $2.78 \times 10^{-4}$ |
| 84.58 | 8.11 | 0.999 | $5.74 \times 10^{-4}$ |

${ }^{a} \mathrm{w} / \mathrm{w}$ After mixing with $0.5 \%$ ethanol. ${ }^{b}$ Data from ref. 18b,c. ${ }^{\bullet}$ Calculated using $\mathrm{p} K_{\mathrm{SH}^{+}}=-5 \cdot 03$ (ref. 6).

Table 3
Rate and acidity data for reaction of (3) in $\mathrm{H}_{2} \mathrm{SO}_{4}$ solution at $44.4^{\circ}$

|  | $-\log$ | $C_{\mathrm{BH}^{+}} c$ |  |
| :---: | :---: | :---: | :---: |
| $\mathrm{H}_{2} \mathrm{SO}_{4}(\%)^{a}$ | $a_{\mathrm{H}_{2} \mathrm{SO}_{4}}{ }^{b}$ | $\frac{C \mathrm{~S}+C_{\mathrm{BH}^{+}}}{}$ | $k_{\psi}\left(\mathrm{s}^{-1}\right)$ |
| $69 \cdot 65$ | 3.321 | 0.828 | $1.96 \times 10^{-6}$ |
| 72.64 | 2.860 | 0.927 | $7.37 \times 10^{-6}$ |
| 74.63 | 2.557 | 0.960 | $1 \cdot 83 \times 10^{-5}$ |
| 76.62 | 2.250 | 0.980 | $4 \cdot 25 \times 10^{-5}$ |
| 78.61 | 1.952 | 0.989 | $8.82 \times 10^{-5}$ |
| 80.59 | 1.671 | 0.994 | $1.75 \times 10^{-4}$ |

${ }^{a} \mathrm{w} / \mathrm{w}$ After mixing with $0.5 \%$ ethanol. ${ }^{b}$ Data from C. W. F. Kort and H. Cerfontain, Rec. Trav. chim., 1968, 87, 24. © Calculated using $\mathrm{p} K_{\mathrm{sH}^{+}}=-5 \cdot 00$ (ref. 6).
with previous observations for azoxybenzene and hexamethylazoxybenzene. Figure 2 also shows the approach to a limiting rate, especially for (1), (4), and (5),
even more clearly. In Figure 2, the only linear correlations apparent are for compound (3) at $44 \cdot 4^{\circ}$, upon

Table 4
Rate and acidity data for reaction of (4) in $\mathrm{H}_{2} \mathrm{SO}_{4}$ solution at $44.4^{\circ}$

| $\mathrm{H}_{2} \mathrm{SO}_{4}(\%)^{\text {a }}$ | $C_{\text {SY }}{ }^{\text {c }}$ |  | $k_{\psi}\left(\mathrm{s}^{-1}\right)$ |
| :---: | :---: | :---: | :---: |
|  | $-H_{0}{ }^{\text {b }}$ | $\overline{C_{\mathrm{s}}+\mathrm{C}_{\mathrm{SH}^{+}}}$ |  |
| 59.89 | $4 \cdot 66$ | 0.094 | $1 \cdot 10 \times 10^{-5}$ |
| 60.89 | $4 \cdot 79$ | $0 \cdot 120$ | $2.53 \times 10^{-5}$ |
| 61.92 | $4 \cdot 92$ | $0 \cdot 153$ | $3.45 \times 10^{-5}$ |
| 62.88 | $5 \cdot 05$ | $0 \cdot 192$ | $7.70 \times 10^{-5}$ |
| 63.83 | $5 \cdot 16$ | $0 \cdot 232$ | $1.26 \times 10^{-4}$ |
| 64.77 | $5 \cdot 26$ | $0 \cdot 272$ | $2.21 \times 10^{-4}$ |
| $65 \cdot 74$ | $5 \cdot 37$ | $0 \cdot 321$ | $3.03 \times 10^{-4}$ |
| 66.79 | $5 \cdot 51$ | $0 \cdot 390$ | $5.45 \times 10^{-4}$ |
| 67.75 | $5 \cdot 65$ | $0 \cdot 463$ | $5.94 \times 10^{-4}$ |
| $68 \cdot 20$ | $5 \cdot 71$ | $0 \cdot 495$ | $6.23 \times 10^{-4}$ |
| $69 \cdot 20$ | $5 \cdot 87$ | 0.579 | $8.25 \times 10^{-4}$ |
| 70.72 | 6.09 | 0.688 | $9.71 \times 10^{-4}$ |

- w/w After mixing with $5 \cdot 0 \%$ dioxan. ${ }^{b}$ Data from ref. $18 a$. ${ }^{c}$ Calculated using $\mathrm{p} K_{\mathrm{SH}^{+}}=-5.72$ (ref. 6).

Table 5
Rate and acidity data for reaction of (5) in $\mathrm{H}_{2} \mathrm{SO}_{4}$ solution at $\mathbf{4 4} \cdot \mathbf{4}^{\circ}$

${ }^{a} \mathrm{w} / \mathrm{w}$ After mixing with $5 \cdot 0 \%$ dioxan. ${ }^{b}$ Data from ref. $18 a$ ${ }^{c}$ Calculated using $\mathrm{p} K_{\mathrm{SH}^{+}}=-5.82$ (ref. 6).

Table 6
Rate and acidity data for reaction of (6) in $\mathrm{H}_{2} \mathrm{SO}_{4}$ solution at $\mathbf{4 4} \cdot \mathbf{4}^{\circ}$

|  |  | $C_{\mathrm{BH}^{+c}}$ |  |
| :---: | :---: | :---: | :---: |
| $\mathrm{H}_{2} \mathrm{SO}_{4}(\%)^{a}$ | $-H_{0}{ }^{6}$ | $\frac{C_{\mathrm{s}}+C_{\mathrm{SH}^{+}}}{}$ | ${ }_{4}\left(\mathrm{~s}^{-1}\right)$ |
| 69.97 | 5.97 | 0.836 | $2.98 \times 10^{-5}$ |
| 72.63 | 6.36 | 0.915 | $8.15 \times 10^{-5}$ |
| 74.60 | 6.67 | 0.952 | $1.63 \times 10^{-4}$ |
| 76.06 | 6.92 | 0.970 | $2.53 \times 10^{-4}$ |
| 77.06 | 7.10 | 0.978 | $2.63 \times 10^{-4}$ |

${ }^{a} \mathrm{w} / \mathrm{w}$ After mixing with $5 \cdot 0 \%$ dioxan. ${ }^{b}$ Data from ref. $18 a$. ${ }^{c}$ Calculated using $\mathrm{p} K_{\mathrm{SH}^{+}}=-5 \cdot 13$ (ref. 6).
which the topmost point due to (2) also falls, and for compound (1) at $25.0^{\circ}$. Discussion of these aspects will be deferred until later.

Previously we found that, at low acidity, hexamethylazoxybenzene undergoes reaction via a succession of equilibrium proton transfers, followed by rate-determining nucleophilic attack. ${ }^{1}$ A similar mechanism can be considered for compounds (1), (2), and (4)-(6) at

[^1]$44 \cdot 4^{\circ}$. (In the case of hexamethylazoxybenzene, nucleophilic attack occurs on a hydrogen of the $p$-methyl group, ${ }^{8}$ whereas in the azoxynaphthalene series the nucleophile attacks an aromatic carbon atom, though


Figure 1 Graphs of $\log k_{\psi}$ against $-H_{0}$, for the Wallach rearrangements of (1)( 0 ); (2) ( $\square$ ); (3) (O); (4) ( $\times$ ), (5) ( $\square$ ); and $(6)(+)$, all at $44 \cdot 4^{\circ}$; and of (1) ( ) at $25 \cdot 0^{\circ}$, in sulphuric acid
in both cases quinonoid intermediates result.) The reaction scheme for compound ( 1 ) is presented in Scheme 2. The substrate S is first protonated on


Figure 2 Graphs of $\log k_{\psi}-\log \left(\mathrm{C}_{\mathrm{sH}}+/ C_{\mathrm{s}}+C_{\mathrm{SH}}{ }^{+}\right.$) against $\log a_{\mathrm{H}_{2} \mathrm{SO}_{4}}$, for the Wallach rearrangements of (1) (0); (2) ( $\boldsymbol{\Gamma}$ ); (3) (O); (4) $(\times)$; (5) ( $\square$ ); and (6) $(+)$, all at $44 \cdot 4^{\circ}$; and of (1) ( - ) at $25 \cdot 0^{\circ}$ in sulphuric acid
oxygen in an equilibrium defined by $K_{\mathrm{SH}^{+}}$, giving $\mathrm{SH}^{+}$, which then undergoes reversible nucleophilic attack by $\mathrm{Nu}^{-}$(probably $\mathrm{HSO}_{4}^{-}$) ${ }^{1,9}$ giving a ' new' neutral substrate $S^{\prime}$, a quinonoid type intermediate. ${ }^{10}$ This
${ }^{10}$ D. Duffey and E. C. Hendley, J. Org. Chem.. 1968, 33, 1918; 1970, 35, 3579.
in turn is protonated ( $K_{\mathrm{s}^{\prime} \mathrm{H}^{+}}$) on oxygen, yielding $\mathrm{S}^{\prime} \mathrm{H}^{+}$, and the latter undergoes rate-determining attack







Scheme 2
by base (again $\mathrm{HSO}_{4}^{-}$) with concerted loss of $\mathrm{H}_{2} \mathrm{O}$. The resulting azoaryl hydrogen sulphate derivative will be rapidly hydrolysed ${ }^{11}$ to yield the observed product (7).

Using the nomenclature of Scheme 2, it is possible to derive rate equations (1) and (2), as previously described. ${ }^{1}$ These are applicable to the cases, where $S^{\prime}$ is essentially unprotonated, and fully protonated, respectively.

$$
\begin{align*}
& \log k_{\psi}-\log \frac{C_{\mathrm{SH}^{+}}}{C_{\mathrm{s}}+C_{\mathrm{SII}^{+}}}=-H_{0}+\log a_{\mathrm{Nu} a_{\mathrm{B}}:}+ \\
& \log \frac{k K}{K_{\mathrm{S}^{\prime} \mathrm{H}^{+}}}+\log \frac{f_{\mathrm{SH}^{+}} f_{\mathrm{InH}}}{}  \tag{1}\\
& f_{\ddagger} f_{\mathrm{In}}
\end{align*}
$$

$\log k_{\psi}-\log \frac{C_{\mathrm{SH}^{+}}}{C_{\mathrm{S}}+C_{\mathrm{SH}^{+}}}=\log a_{\mathrm{Nu}} a_{\mathrm{B}}:+\log k K$

$$
\begin{equation*}
+\log \frac{f_{\mathrm{SH}^{+}+} f_{\mathrm{S}^{\prime} \mathrm{H}^{+}}}{f_{\ddagger} f_{\mathrm{S}^{\prime}}} \tag{2}
\end{equation*}
$$

${ }^{11}$ (a) C. S. Hahn, K. W. Lee, and H. H. Jaffé, J. Amer. Chem. Soc., 1967, 89, 4975; (b) E. Buncel and W. M. J. Strachan, Canad. I. Chem., 1969, 47, 911.

If it is assumed (a) that both B : and $\mathrm{Nu}^{-}$are $\mathrm{HSO}_{4}{ }^{-}$, and (b) that the activity coefficient terms do not affect the linearity of the plots appreciably, ${ }^{1,12}$ one can plot $\log k_{\psi}-\log \left[C_{\mathrm{SHH}^{+}} /\left(C_{\mathrm{S}}+C_{\mathrm{SH}+}\right)\right]-2 \log a_{\mathrm{HSO}}^{4}-$ against $-H_{0}$; if the treatment holds these will be linear with unit slope if equation (l) applies, and zero slope if equation (2) applies. The relevant plots are shown in Figure 3; it is apparent that equations (1) and (2) apply at the extremes, since the initial slopes are all close to 1 and the final slopes all approach 0 . It is also evident that the $\mathrm{p} K_{\mathrm{S}^{\prime} \mathrm{H}^{+}}$values of all five $\mathrm{S}^{\prime}$ compounds lie somewhere within the acidity range covered in Figure 3. Since this is so, it is necessary to use an equation which takes this into account; this is equation (3), which can be derived from equations (1) and (2) by standard procedures ${ }^{13}$ [essentially equations (1) and (2) are added before taking logs], and involves the assumption that the protonation of $\mathrm{S}^{\prime}$ follows the $H_{0}$ acidity function.

$$
\begin{align*}
& \log k_{\psi}-\log \frac{C_{\mathrm{SH}^{+}}}{C_{\mathrm{S}}+C_{\mathrm{SH}^{+}}}=\log a_{\mathrm{Au}} a_{\mathrm{B}:}+\log k K \\
& \quad+\log \frac{C_{\mathrm{S}^{\prime} \mathrm{H}^{+}}}{C_{\mathrm{S}^{\prime}}+C_{\mathrm{S}^{\prime} \mathrm{H}^{+}}}+\log \frac{f_{\mathrm{SH}^{+}+\mathrm{S}_{\mathrm{S}^{\prime} \mathrm{H}^{+}}}}{f_{\ddagger} f_{\mathrm{S}^{\prime}}} \tag{3}
\end{align*}
$$

Now we have three equilibrium constants, $K_{\mathrm{SH}^{+}}$, $K$, and $K_{\mathrm{S}^{\prime} \mathrm{H}^{+}}$, describing reactions which occur before the slow step. Since $K_{\text {SH }}$ is known, one can derive values of $C_{\mathrm{SH}^{+}} /\left(C_{\mathrm{S}}+C_{\mathrm{SH}^{+}}\right)$. $K$ Need not be known as it is not involved in a variable term, but, in order


Figure 3 Graphs of $\log k_{\psi}-\log \left[C_{\mathrm{sH}^{+}} j\left(C_{\mathrm{s}}+C_{\mathrm{SH}^{+}}\right)\right]-2 \log _{8}$ $a_{\text {rso }}$ - against $-H_{0}$, for the Wallach rearrangements of (1) ( 0 ); (2) ( $\square$ ); (4) ( $\times$ ); (5) ( $\square$ ); and (6) ( + ) in sulphuric acid at $44 \cdot 4^{\circ}$
to use equation (3), we need to know $K_{\text {S }^{\prime} \mathrm{H}^{+}}$so that values of $C_{\mathrm{S}^{\prime} \mathrm{H}^{+}} /\left(C_{\mathrm{S}^{\prime}}+C_{\mathrm{S}^{\prime} \mathrm{H}^{+}}\right)$can be derived. We cannot measure $K_{\mathrm{S}^{\prime} \mathrm{H}^{+}}$directly, since $K$ is apparently not large enough to allow appreciable quantities of $S^{\prime}$ to be present in the solutions orginally used to measure

[^2]$K_{\text {SH }}+{ }^{6}$ otherwise standard methods ${ }^{14}$ could be used to obtain both $K_{\mathrm{SH}^{+}}$and $K_{\mathrm{S}^{\prime} \mathrm{H}^{+}}$. However, one can obtain values of $\log \left[C_{\mathbf{S}^{\prime}+}+\left(C_{\mathbf{B}^{\prime}}+C_{\mathbf{S}^{\prime}++}\right)\right]$ from Figure 3


Figure 4 Graphs of $\log \left(C_{\mathrm{s}^{\prime} \mathrm{H}^{+}} / C_{\mathrm{S}^{\prime}}\right)$ against $-H_{0}$, giving the $\mathrm{p} K_{\mathrm{s}^{\circ} \mathrm{H}^{+}}$values of the quinonoid-type intermediates formed from (1) ( ) ; (2) (■); (4) ( $\times$ ); (5) ( $\square$ ); and (6) $(+)$, during their Wallach rearrangements in sulphuric acid at $44 \cdot 4^{\circ}$
if it is assumed that equation (3) holds, i.e., that the difference between the curves in Figure 3 and the acidityindependent lines parallel to the $-H_{0}$ axis (dashed lines in Figure 3) represent values of $\log \left[C_{\mathbf{S}^{\prime} \mathrm{H}^{+}} /\left(C_{\mathbf{S}^{\prime}}+\right.\right.$ $\left.C_{\left.\mathrm{S}^{\prime} \mathrm{H}^{+}\right)}\right]$. One can then use these values to calculate $\log \left(C_{\mathrm{S}^{\prime} \mathrm{H}} / C_{\mathrm{S}^{\prime}}\right)$, which is the $\log$ (ionization ratio) for $\mathrm{S}^{\prime}$. These data can be plotted against $-H_{0}$, and the intercepts on the $x$-axis yield the values of $\mathrm{p} K_{\mathbf{S}^{\prime} \mathrm{I}^{+}}$. The slopes of these plots indicate whether or not the different $\mathrm{S}^{\prime}$ compounds behave like Hammett bases. The result of this procedure is given in Figure 4, while in Table 7 the values of $\mathrm{p} K_{\mathrm{S}^{\prime} \mathrm{H}^{+}}$are listed, with the Hammett slopes, standard deviations, and correlation coefficients. Values of the acidity-independent rate used in this treatment (dashed lines in Figure 3) were those which gave the best straight lines in the Figure 4 plots and were determined by inspection. Compound (3) is excluded from these treatments since this gives a linear plot in Figure 2; it reacts by another mechanism as will be seen in the subsequent discussion.

[^3]Figure 4 and Table 7 show that the quinonoid-type intermediates from (1), (2), (5), and (6) approximate Hammett base behaviour, with slopes of ca. 1-2, and all give adequately linear plots. The exception seems to be the intermediate formed from (4); the experimental scatter for this compound is large, but the Hammett slope is reasonably well defined at $c a .2 \cdot 0$, and the $\mathrm{p} K_{\mathrm{s}^{\prime} \mathrm{H}^{+}}$value at $-5 \cdot 1$. This is the only compound with $\mathrm{p} K_{\mathrm{S}^{\prime} \mathrm{H}+}>\mathrm{p} K_{\mathrm{SH}+}$. Anomalous behaviour in this case can be attributed to the more stringent steric requirements in the $1,1^{\prime}$-dinaphthalene structure relative to the others in this series.

Now that $\mathrm{p} K_{\mathrm{S}^{\prime} \mathrm{H}^{+}}$values for these compounds have been inferred, one can recalculate values of $\log \left[C_{\mathrm{S}^{\prime} \mathrm{H}+} /\right.$ ( $C_{\mathrm{S}^{\prime}}+C_{\mathrm{S}^{\prime} \mathrm{H}^{+}}$)], and using equation (3) one can plot $\log k_{\psi}-\log \left[C_{\mathrm{SH}^{+}} /\left(C_{\mathrm{S}}+C_{\mathrm{SH}^{+}}\right)\right]-2 \log a_{\mathrm{HSO}_{4}^{-}}-\log -$ $\left[C_{\mathbf{s}^{\prime} \mathrm{H}^{+}} /\left(C_{\mathrm{S}^{\prime}}+C_{\mathbf{S}^{\prime} \mathrm{H}^{+}}\right)\right]$against $-H_{\mathbf{0}}$. This effectively removes all the acidity dependence; we should be left with straight lines of slope 0 , and the only remaining variable is the experimental scatter. This is demonstrated in Figure 5, showing that the treatment is self-consistent.

In Figure 5, the intercepts on the $y$-axis * with their standard deviations are: (4), $-4 \cdot 898 \pm 0.065$; (5) and (1), $-5.007 \pm 0.022$; (6) and (2), $-5.622 \pm 0.018$. These values, which approximate to the term $\log k K$ in equation (3), lead to some interesting conclusions. It is apparent that compounds (1), (4), and (5), when the acidity dependence is factored out, all react at approximately the same rate. This is not surprising in itself, since these are reacting in the same way at the


Figure 5 Graphs of $\log k_{\psi}-\log \left[C_{\mathrm{SH}^{+}} /\left(C_{\mathrm{S}}+C_{\mathrm{SH}^{+}}\right)\right]-2 \log$ $a_{\text {HsO }_{4}}-\log \left[C_{\mathrm{S}^{\prime} \mathrm{H}^{+}} /\left(C_{\mathbf{S}^{\prime}}+C_{\mathrm{B}^{\prime} \mathrm{H}^{+}}\right)\right]$against $-H_{0}$, illustrating the acidity-independent rates of the Wallach rearrangements of $(4)(\triangle)$; (1) and (5) ( $)$; (2) and (6) (○) undergoing reaction via Scheme 1 in sulphuric acid at $44 \cdot 4^{\circ}$
same site in closely similar molecules, according to Scheme 2, but it is surprising in terms of the ' normal' Wallach rearrangement mechanism, ${ }^{3}$ in which reaction

14 B. Roth and J. F. Bunnett, J. Amer. Chem. Soc., 1965, 87, 334.
occurs via a dicationic intermediate (see below). If this mechanism were to obtain then the symmetrical compound (4), with two identical reaction sites, would react faster than (1) and (5) by a symmetry factor of 2 ( $0.3 \log$ units), which is not found. This also applies to compounds (2) and (6), of which only the latter is
feature; thus compound (3) does not react at the phenyl group; and in fact (3) does not react by this mechanism at all (see below) and one may conclude that reaction at phenyl is too unfavourable energetically to occur by this mechanism.

The mode of reaction of (3) is still to be explained.

Table 7
Values of $\mathrm{p} K_{\mathrm{SH}^{+}}$for compounds (1)-(6) (S), and of $\mathrm{p} K_{\mathrm{S}^{\prime} \mathrm{H}^{+}}$for the derivatives formed by nucleophilic attack of $\mathrm{HSO}_{4}^{-}$on $\mathrm{SH}^{+}\left(\mathrm{S}^{\prime}\right)$
(2)

[^4]symmetrical. It is apparent that reaction at a 4-naphthyl position [(1), (4), and (5)] is easier than reaction at a 1-naphthyl position (2 and 6), which is what would be expected. ${ }^{6}$ Both naphthyl substitutions involve partial retention of aromaticity in one of the naphthalene rings in the resulting quinonoid-type intermediates ( $S^{\prime}$ structures in Table 7). Reaction at phenyl does not have this energetically favourable

It will be recalled that $\log k_{\psi}-\log \left[C_{\mathrm{SH}^{+}} /\left(C_{\mathrm{s}}+C_{\mathrm{SH}^{+}}\right)\right]$ is a linear function of $\log a_{\mathrm{H}_{2} \mathrm{SO}_{4}}$ for this compound (Figure 2). Behaviour of this type, previously found for hexamethylazoxybenzene at high acidity, ${ }^{1}$ and for azoxybenzene itself at all acidities, ${ }^{3 a}$ has been shown to be consistent with the dicationic intermediate mechanism. This mechanism is shown in Scheme 3 for the case under discussion.

In this Scheme, the protonated substrate $\mathrm{SH}^{+}$undergoes rate-determining proton transfer concertedly with loss of water (general acid catalysis, here by undissociated $\left.\mathrm{H}_{2} \mathrm{SO}_{4}\right)^{1,3}$ to give the dicationic intermediate (12), which is subject to fast nucleophilic attack at the most favourable site, as shown, and subsequent fast reactions leading to (8). The contrast between the reaction of (3) and its isomer (2) is noteworthy. The latter can react by Scheme 2, since the 1-naphthyl site has the correct orientation with respect to the ${ }^{+} \mathrm{N}-\mathrm{OH}$ group. However, if (3) were to react via Scheme 2,



Scheme 3
it would have to react at phenyl; this is energetically unfavourable so (3) reacts by the pathway of Scheme 3 and the overall rate is some three times slower than that of (2). Thus we have the interesting phenomenon that the isomeric azoxy-substrates (2) and (3) react by different pathways in forming the same reaction product. ${ }^{5 c}$

It can be seen from Figure 2 that the topmost point due to the reaction of (2) joins the line due to that of (3); this occurs at $c a .83 \% \mathrm{H}_{2} \mathrm{SO}_{4}$, or $H_{0} c a .-7.8$. This type of behaviour is similar to that previously found for the reaction of hexamethylazoxybenzene, ${ }^{1}$ for which reaction occurs by a pathway somewhat analogous (see above) to Scheme 2 below $80 \% \mathrm{H}_{2} \mathrm{SO}_{4}$, and by a pathway like Scheme 3 in more concentrated acid. Thus both (2) and (3) are reacting via Scheme 3 in $>83 \% \mathrm{H}_{2} \mathrm{SO}_{4}$. As the acidity increases, Scheme 3

[^5]becomes relatively more favourable since $\log a_{\mathrm{H}_{2} \mathrm{SO}}$ continues to increase while the Scheme 2 pathway reaches its terminal velocity. It is apparent that (1), and probably the other compounds also, react by the pathway of Scheme 2 above ca. $83 \%$ acid, since (l) at $25.0^{\circ}$ shows a linear dependence on $\log a_{\mathrm{H}_{2} \mathrm{SO}}$ above $83 \% \mathrm{H}_{2} \mathrm{SO}_{4}$ (Figure 2).

Conclusions.-The mechanistic conclusions derived from this kinetic study are as follows. Reactions $(1) \rightarrow(7),(2) \longrightarrow(8),(4) \longrightarrow(9),(5) \longrightarrow(10)$, and $(6) \longrightarrow(11)$, in $\mathrm{H}_{2} \mathrm{SO}_{4}$ solutions below $83 \%$ w/w proceed by the mechanism outlined in Scheme 2, involving quinonoid-type intermediates. Reaction $(3) \rightarrow(8)$, and the other reactions in $\mathrm{H}_{2} \mathrm{SO}_{4}$ solutions above $83 \%$ w/w, proceed by the mechanism of Scheme 3, which involves a dicationic intermediate similar to that previously postulated for the Wallach rearrangement of azoxybenzene. The latter mechanism requires ratedetermining proton transfer from undissociated $\mathrm{H}_{2} \mathrm{SO}_{4}$ species, i.e. general acid catalysis in moderately concentrated sulphuric acid. ${ }^{15}$

Thus the kinetic study has made possible a delineation of the dichotomy in the reaction pathways (quinonoid versus dicationic intermediates) which were tentatively proposed as alternatives on the basis of the observed product orientation in this series and using simple HMO calculations. ${ }^{5 b}$

We draw attention also to certain analogies between the Wallach and the benzidine ${ }^{\mathbf{1 6}}$ rearrangements. Both transformations can proceed by pathways involving intermediates with one or two positive charges. Within each pathway the reaction rate increases in the order phenyl $<2$-naphthyl $<1$-naphthyl, leading to an inverse $\mathrm{p} K_{\mathrm{a}}$-reactivity relationship for the substrates concerned. In both reaction series there is the requirement for substantial charge delocalization in the transition state of the reaction.

## EXPERIMENTAL

The preparation of the azoxyarene substrates has been described previously. ${ }^{6}$ The products from the reactions were identified spectrally under the kinetic conditions by comparison with the authentic compounds in neutral, acidic, and basic solutions. ${ }^{5 b}$ In the cases of reactions of (1) and (4) product identification was confirmed by actual isolation.

The reactions were studied over as wide an acidity range as possible, the lower acidity limit being the slowest rate conveniently measurable, or the limit of substrate solubility, ${ }^{6}$ and the upper acidity limit being the onset of competing reactions, probably sulphonation ${ }^{17}$ and/or ring protonation and decomposition, of either reactant or product. The reaction media were: (i) $\mathrm{H}_{2} \mathrm{SO}_{4}$ solutions containing $0.5 \%$ ethanol [(1)-(3) at $\left.44 \cdot 4^{\circ}\right]$; (ii) $\mathrm{H}_{2} \mathrm{SO}_{4}$ solutions containing $5 \%$ dioxan $\left[(4)-(6)\right.$ at $\left.44 \cdot 4^{\circ}\right]$; and (iii) aqueous $\mathrm{H}_{2} \mathrm{SO}_{4}$ [(1) at $25 \cdot 0^{\circ}$ ]. The co-solvents were present to aid solubility; an appropriate acidity function

[^6] 4011.
was used for the $\mathrm{H}_{2} \mathrm{SO}_{4}-5 \%$ dioxan medium. ${ }^{18 a}$ Acidity function and species activity data for $\mathrm{H}_{2} \mathrm{SO}_{4}$ solutions were from the sources previously cited. ${ }^{3 a}$

The reactions of (1)-(6) in the $\mathrm{H}_{2} \mathrm{SO}_{4}$ media were followed by repeatedly scanning the u.v.-visible spectrum of reaction solutions, either directly in the cell, or indirectly after quenching portions in base, the ' direct ' and ' indirect ' methods previously described. ${ }^{3 C}$ A Unicam SP 800 spectrophotometer was used. Pseudo-first-order rate constants $\left(k_{\psi}\right)$ were obtained from the slopes of $\log \left(\mathrm{OD}_{\infty}-\right.$ OD) against time plots, using the optical density at a suitable absorption maximum of either reactant or product. ${ }^{5 b}$ Values of $\mathrm{OD}_{\infty}$, where not obtainable directly (due to slow reaction in the weak acid solutions or to slight decomposition in the most strongly acid media), were
theoretical values calculated from the known extinction coefficients of the known products in the medium; in some cases the Guggenheim procedure could be used advantageously. The rate constants recorded in Tables 1-7 represent the mean values of generally 2-4 determinations, as performed by a combination of the methods referred to above.

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